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Atty. Dkt. No. 042881-0119

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Applicant: R. Rao KOGANTY et al.  
Title: RANDOMLY GENERATED  
GLYCOPEPTIDE  
COMBINATORIAL LIBRARIES  
Appl. No.: 09/143,379  
Filing Date: 08/28/1998  
Examiner: Celsa, Bennett M.  
Art Unit: 1627

#225  
JUG 18  
1-21-02

Response to Office Communication

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action mailed December 12, 2001 in which a Sequence Requirement was made, Applicants note the following:

A full and responsive Response to Notice to Comply with Sequence Requirement was filed on September 17, 1999. This response included a Statement in Support of Filing a Sequence, an Amendment inserting the Seq ID Nos into the specification and claims, a Paper copy of the Sequence and a computer diskette containing the sequence listing. Applicants submit herewith a copy of the September 17, 1999 filing and a copy of the receipt stamped postcard acknowledging receipt of these documents by the Patent Office. Applicants believe this Sequence Requirement was made in error. Should the Patent Office require any further response in this matter, please contact the undersigned.

Respectfully submitted,

Date January 14, 2001

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5143  
Telephone: (202) 672-5427  
Facsimile: (202) 672-5399

By Mary C. Tell Reg. #41,545  
for Michele M. Simkin  
Attorney for Applicant  
Registration No. 34,717



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/143,379	08/28/1998	R. RAO KOGANTY	042881/0119	3442
7590 12/12/2001				
FOLEY & LARDNER 3000 K STREET N W SUITE 500 P O BOX 25696 WASHINGTON, DC 200078696			EXAMINER CELISA, BENNETT M	
			ART UNIT 1627	PAPER NUMBER

DATE MAILED: 12/12/2001

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JAN 16 2001

TECH CENTER 1600/2900

Please find below and/or attached an Office communication concerning this application or proceeding.

ACTION DUE Sequence Due  
CLIENT/MATTER # 6  
DUE DATE 1-12-02  
DKTD BY gk  
ATTY BDS



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
09/143, 379			

EXAMINER	
ART UNIT	PAPER NUMBER
1627	21

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JAN 14 2001  
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Please find below a communication from the EXAMINER in charge of this application

**Sequence Rules Letter**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Applicant is given ONE MONTH from the mailing date of this communication within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

**General information regarding further correspondence**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Celsa whose telephone number is (703) 305-7556.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jyothsna Venkat (art unit 1627), can be reached at (703)308-0570.

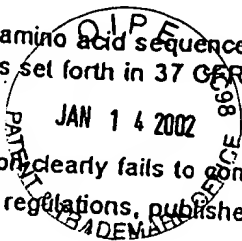
Any inquiry of a general nature, or relating to the status of this application, should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Bennett Celsa (art unit 1627)  
December 9, 2001

BENNETT CELSA  
PRIMARY EXAMINER

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):



- ☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(d).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

## Applicant must provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

For questions regarding compliance with these requirements, please contact:

For Rules Interpretation, call (703) 308-1123  
 For CRF submission help, call (703) 308-4212  
 For PatentIn software help, call (703) 308-6856

Please return a copy of this notice with your response.

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Receipt is hereby acknowledged of the following:

Applicant: Koganty *et al.*

Serial No.: 09/143,379

Filed: August 28, 1998

Title: RANDOMLY GENERATED GLYCOPEPTIDE COMBINATORIAL  
LIBRARIES

44  
Docket No: 042881/119

Response to Restriction Requirement

Response to Notice to Comply with Sequence Listing

Statement in Support of Filing and Submission in Accordance with 37 C.F.R. §§ 1.821 -1.825

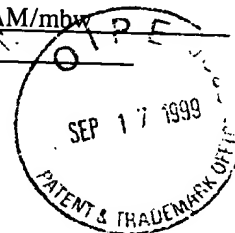
Computer Diskette containing sequence listing

**MAIL ROOM DELIVERY**

Date Due: September 17, 1999

Return to: BDS/tll/BAM/mhw

Today's Date: September 17, 1999 Inspected by: \_\_\_\_\_



Receipt is hereby acknowledged of the following:

Docket No: 042881/119

Applicant: Koganty *et al.*

Serial No.: 09/143,379

Filed: August 28, 1998

Title: RANDOMLY GENERATED GLYCOPEPTIDE COMBINATORIAL  
LIBRARIES

Response to Restriction Requirement

Response to Notice to Comply with Sequence Listing

Statement in Support of Filing and Submission in Accordance with 37 C.F.R. §§ 1.821 -1.825

Computer Diskette containing sequence listing

**MAIL ROOM DELIVERY**

Date Due: September 17, 1999

Return to: BDS/tll/BAM/mbw

Today's Date: September 17, 1999 Inspected by: \_\_\_\_\_

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PC/MS-DOS PATENTIN 2.0  
KOGANTY, R. Rao *et al.*  
US 09/143,379 28-AUG-1998  
"RANDOMLY GENERATED  
GLYCOPEPTIDE..."  
ATTY DOCKET: 042881/0119  
DATA REC'D: 17-SEP-1999